

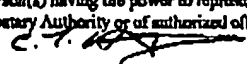
Appendix 3

**UDAPEST TREATY ON THE INTERNATIONAL
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS
FOR THE PURPOSES OF PATENT PROCEDURE**

INTERNATIONAL FORM

TO UNIVERSITY OF NEWCASTLE UPON TYNE 6 Kensington Terrace Newcastle upon Tyne NE1 7RU UK	NAME AND ADDRESS OF DEPOSITOR
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RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT
issued pursuant to Rule 7.1 by the
INTERNATIONAL DEPOSITARY AUTHORITY
identified at the bottom of this page

I. IDENTIFICATION OF THE MICROORGANISM	
Identification reference given by the DEPOSITOR: HES - NCL2	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: P-05-001
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION	
The microorganism identified under I above was accompanied by: <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div> <input type="checkbox"/> a scientific description <input type="checkbox"/> a proposed taxonomic designation (Mark with a cross where applicable) </div> <div style="text-align: right;"> None given CH 13-01-05 </div> </div>	
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on 13-01-05 (date of the original deposit) ¹	
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was received by this International Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on CH 13-01-05 (date of receipt of request for conversion)	
V. INTERNATIONAL DEPOSITARY AUTHORITY	
Name: DR. CHARLES J. HUNT Address: NISSE, UK STEM CELL BANK, BLANCHARD LANE, POTTERS BAR, HERTS EN6 3QG	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  Date: 13-01-05

¹ Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

BUDAPEST TREATY ON THE INTERNATIONAL
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS
FOR THE PURPOSES OF PATENT PROCEDURE

TO
DR M STOKOVIC
UNIVERSITY OF NEWCASTLE UPON TYNE
INSTITUTE OF HUMAN GENETICS
CENTRAL PARKWAY

INTERNATIONAL FORM

NEWCASTLE UPON TYNE
NE1 3B3
UK

NAME AND ADDRESS
OF DEPOSITOR

I. IDENTIFICATION OF THE MICROORGANISM

Identification reference given by the
DEPOSITOR:
hESCdF-NCL

Accession number given by the
INTERNATIONAL DEPOSITARY AUTHORITY:
04010601

II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:

- ☒ A scientific description
☐ A proposed taxonomic designation

(Mark with a cross where applicable)

III. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism identified under I above,
which was received by it on 06 January 2004 (date of the original deposit)¹

IV. RECEIPT OF REQUEST FOR CONVERSION

The microorganism identified under I above was received by this International
Depositary Authority on (date of the original deposit) and
A request to convert the original deposit to a deposit under the Budapest Treaty
was received by it on (date of receipt of request for conversion)

IV. INTERNATIONAL DEPOSITARY AUTHORITY

Name: Dr D H Lewis

Address: ECACC
HPA
Porton Down
Salisbury SP4 0JG

Signature(s) of person(s) having the power
to represent the International Depositary
Authority or of authorized official(s):

Date: 14/02/05

¹ Where Rule 6.4(d) applies, such date is the date on which the status of international depositary
authority was acquired

BUDAPEST TREATY ON THE INTERNATIONAL
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS
FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

TO
DR M STOKOVIC
UNIVERSITY OF NEWCASTLE UPON TYNE
INSTITUTE OF HUMAN GENETICS
CENTRAL PARKWAY

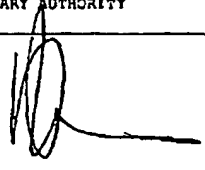
VIABILITY STATEMENT
Issued pursuant to Rule 10.2 by the
INTERNATIONAL DEPOSITARY AUTHORITY
identified on the following page

NEWCASTLE UPON TYNE
NE1 3B2
UK

NAME AND ADDRESS OF THE PARTY
TO WHOM THE VIABILITY OF STATEMENT
IS ISSUED

I. DEPOSITOR	II. IDENTIFICATION OF THE MICROORGANISM
<p>Name: DR M STOKOVIC UNIVERSITY OF NEWCASTLE UPON TYNE INSTITUTE OF HUMAN GENETICS CENTRAL PARKWAY</p> <p>Address: NEWCASTLE UPON TYNE NE1 3B2 UK</p>	<p>Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: 04010601</p> <p>Date of the deposit or of the transfer: 06 January 2004</p>
II. VIABILITY STATEMENT	
<p>The viability of the microorganism identified under II above was tested on 06 January 2004¹. On that date, the said microorganism was</p> <p><input checked="" type="checkbox"/>² viable</p> <p><input type="checkbox"/>² no longer viable</p>	

- 1 Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most relevant date (date of the new deposit or date of the transfer).
 - 2 In the cases referred to in Rule 10.2 (a) (i) and (ii), refer to the most recent viability test.
 - 3 Mark with a cross the applicable box.
- Form BP/4 (first page)

IV. CONDITIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PERFORMED *		
THE PATENT DEPOSIT- hESCdF-NCL - ACCESSION NUMBER 04010601 LOT NUMBER 04/8/019 HAS A VIABLE CELL COUNT OF 5 X 10(5) CELLS/MLS AND A VIABILITY OF 91.5%.		
II. INTERNATIONAL DEPOSITARY AUTHORITY		
Name:	Dr D H Lewis	
	ECACC HPA	
Address:	Porton Down	
	Salisbury	
	Wiltshire	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):
	SP4 OJG	Date: 16/02/05

* Fill in if the information has been requested and if the results of the test were negative.

Form BP/9 (second and last page)

BEST AVAILABLE COPY